

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hiroko SUZUKI et al.

Serial No. 09/960,391

Group Art Unit: 1773

Filed: September 24, 2001

Examiner: Monique R. Jackson

For: LOW REFLECTIVE ANTISTATIC HARDCOAT FILM

TERMINAL DISCLAIMER

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Your petitioner, Dai Nippon Printing Co., Ltd., a corporation residing at 1-1, Ichigaya-Kaga-Cho 1-Chome, Shinjuku-Ku, Tokyo-To, Japan, represents that it is the sole assignee of the entire right, title and interest in U.S. Patent Application Serial No. 09/960,391, filed September 24, 2001, as evidenced by an assignment from the inventors of the above-identified patent application. That assignment document (copy attached) was recorded in the USPTO on May 18, 1999, at Reel 010001, Frames 0286 (the Assignment was recorded in parent application Serial No. 09/266,578, filed March 11, 1999, now U.S. Patent 6,319,594). Your petitioner, Dai Nippon

Printing Co., Ltd., hereby disclaims the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of petitioner's commonly owned U.S. Patent 6,319,594, sole ownership of which by petitioner, as evidenced by assignment recorded in the USPTO on May 18, 1999, at Reel 010001, Frame 0286, and hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent 6,319,594, this agreement to run with any patent granted on the above-identified application and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, petitioner does not disclaim the terminal part of any patent granted on the above-identified application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims cancelled

by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By:

  
Charles A. Wendel

Registration No. 24,453

Attorney of Record for Assignee

December 2, 2003  
Date

DAIN: 493



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 09, 1999

PTAS

PARKHURST & WENDEL, L.L.P.  
ROGER W. PARKHURST  
1421 PRINCE STREET  
SUITE 210  
ALEXANDRIA, VA 22314-2805



\*101049515A\*

AUG 17 1999

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/18/1999

REEL/FRAME: 010001/0286  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SUZUKI, HIROKO

DOC DATE: 05/10/1999

ASSIGNOR:  
NIIMI, TAKAHIRO

DOC DATE: 05/10/1999

ASSIGNEE:  
DAI NIPPON PRINTING CO., LTD.  
1-1, ICHIGAYA-KAGA-CHO 1-CHOME  
SHINJUKU-KU, TOKYO-TO, JAPANSERIAL NUMBER: 09266578  
PATENT NUMBER:FILING DATE: 03/11/1999  
ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

05-28-1999



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5-18-99

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hiroko SUZUKI et al.

Serial No.: 09/266,578

Filed: March 11, 1999



For: LOW REFLECTIVE ANTISTATIC HARDCOAT FILM

ASSIGNMENT TRANSMITTAL LETTER

Honorable Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please record the attached Assignment in the name of the assignor and the assignee as indicated below and return the original document to the undersigned.

1. Name of Conveying Party(ies):  
Hiroko SUZUKI; and Takahiro NIIMI.
2. Name and Address of Receiving Party(ies):  
DAI NIPPON PRINTING CO., LTD.  
1-1, Ichigaya-Kaga-Cho 1-Chome, Shinjuku-Ku, Tokyo-To, JAPAN.
3. Execution Date of Assignment: May 10, 1999.
4. If this Assignment is being filed together with a new application, the execution date of the application is: May 10, 1999.
5. Total number of applications and patents involved: one.

05/19/1999 00000034 0926578

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40.00 UP

All correspondence should be addressed to:

Roger W. Parkhurst  
PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805.

The Assignment recordation fee (\$40.00) is covered by our attached Check Number 11603.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Total number of pages including cover sheet, attachments and document three.

If there should be any questions concerning the amount of the fee or should our check become detached, please debit or credit our Deposit Account Number 16-0331 as needed in order to effect proper filing of the attached document.

Respectfully submitted,

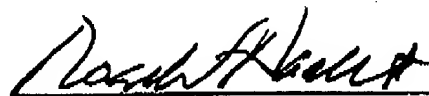
PARKHURST & WENDEL, L.L.P.

May 18, 1999

Date

RWP/ak

Attorney Docket No. DAIN:493



Roger W. Parkhurst  
Registration No. 25,177

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

## ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) Hiroko SUZUKI (5) \_\_\_\_\_  
 (2) Takahiro NIIMI (6) \_\_\_\_\_  
 (3) \_\_\_\_\_ (7) \_\_\_\_\_  
 (4) \_\_\_\_\_ (8) \_\_\_\_\_

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) DAI NIPPON PRINTING CO., LTD.  
1-1 Ichigaya-Kaga-Cho 1-Chome, Shinjuku-KU,  
 (10) Tokyo-10, Japan

(10) Insert address of Assignee

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(11) LOW REFLECTIVE ANTISTATIC HARDCOAT FILM  
 \_\_\_\_\_ (Case No. \_\_\_\_\_)

for which the undersigned has (have) executed an application for patent in the United States of America

(12) Insert Date of Signing of Application

(12) on May 10, 1999

(13) Alternative Identification for filed applications

(13) U.S. application Serial Number 09/266,578  
 filed March 11, 1999

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of PARKHURST & WENDEL, L.L.P. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date May 10, 1999 Name of Inventor Hiroko Suzuki (SEAL)

Date May 10, 1999 Name of Inventor Takahiro Niimi (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date May 10, 1999 Witness Natsuko Yamashita

Date May 10, 1999 Witness [Signature]

ASSIGN